

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: G. HARDING
Title: Electron Window for a Liquid Metal Anode, Liquid Metal Anode, X-ray Emitter and Method for Operating such an X-Ray Emitter of this Type
Serial No.: filed concurrently
Art Unit: Not available
Filing Date: September 19, 2006
Examiner: Not available
Date: September 19, 2006

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

[X] 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document (other than U.S. patents and U.S. patent application publications unless required by the Office) and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

[X] 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

[] 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

[] a. The required Certification made in item 5 below; or

[] b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

[] 4. 37 CFR 1.97(d): [on or before issue fee payment]; and

a) The required Certification is stated in item 5 below; and

b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

[] 5. Certification

- [] a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- [] b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

[X] 6. Payment of all applicable fees:

- ☒ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 090470.
- ☐ Enclosed is a check in the amount of \$_____ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully submitted,



Mark A. Conklin
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Patent Counsel

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Complete if Known

(Use as many sheets as necessary)

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First Named Inventor	G. HARDING
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Examiner Name	Not available
Attorney Docket Number	11HP201854

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.†	FOREIGN PATENT DOCUMENTS			T [‡]
		Foreign Patent Document Country Code* Number* 3rd Code* (if present)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		WO 03/077277A	09-18-2003	Korindjian Philips Elec.	
		EP0676772A1	05-03-1998	UK Atomic Energy Authority	

Examiner
Signature

Date
Considered

EXAMINER'S USE: If a language consists, whether or not citation is in conformance with MPUP 809, Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation. *Symbol (optional). *See "Urds Cuffs of USPTO Patent Documents" at www.uspto.gov or MPUP 801.04. *Enter Office that issued the document, by the two-letter code (e.g., JP for Japan, US for United States). *Enter the year of the year of the reign of the Emperor that precedes the serial number of the patent document. *Enter the document number. *Enter the appropriate symbols as indicated on the document under WIFO Standard ST.16 if possible. *Applicant is to place a check mark here if English language Transcripts is attached.

Information is required for 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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